



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: Hideki KITAO et al. Group Art Unit: 1745

Application Number: 10/604,826 Examiner: Alex E. Echelmeyer

Filed: August 20, 2003 Confirmation Number: 1825

For: NON-AQUEOUS ELECTROLYTE SECONDARY BATTERY

Attorney Docket Number: 031009

Customer Number: 38834

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(c)(1)/(e)(1)

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450 October 3, 2006

Sir:

Applicants direct the attention of the Patent and Trademark Office to the documents listed on the attached Form PTO/SB/08. A copy of each non - U.S. document is attached.

This Information Disclosure Statement (IDS) is being submitted after issuance of a first official action on the merits and expiration of the three month period following the filing date or the entry of the national stage for the above-captioned application, but prior to issuance of either a final official action or a Notice of Allowance.

Document 1 is the corresponding US patent application of Document 2.

The undersigned hereby certifies that each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the IDS. A copy of the foreign communication citing the documents is attached.

Information Disclosure Statement under 1.97(c)(1) Attorney Docket No. 031009 Application No. 10/604,826

If there are any fees due in connection with the filing of this paper, please charge Deposit Account No. <u>50-2866</u>.

Respectfully submitted,

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP

Limited Recognition

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Enclosures: Limited Recognition

PTO/SB/08

Foreign Communication

5 Documents



Combined Form PTO/SB/08A&B

Sheet

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

of

	Complete if Known			
	Application Number	10/604,826		
	Confirmation Number	1825		
	Filing Date	August 20, 2003		
	First Named Inventor	Hideki KITAO et al.		
ĺ	Art Unit	1745		
	Examiner Name	Alex E. Echelmeyer		
	Attorney Docket Number	031009		

			U.S.	PATENT DOCU	MENTS
Evenines	Cite	Document Number		Publication Date	
Examiner Initials*	No.1	Number	Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	i	US 6,482,550		11-19-2002	Imachi et al.
		US			

FOREIGN PATENT DOCUMENTS								
Examiner	Cite	Foreign Patent Document			Publication Date	Name of Patentee or		
Initials*	No.1	Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Translation <sup>6</sup>	
	2	JP	2001-143705		05-25-2001	SANYO ELECTRIC CO., LTD.	Yes	
	3	JP	2000-77071		03-14-2000	NEC CORP.	Yes	
~	4	JP	11-283667		10-15-1999	SANYO ELECTRIC CO., LTD.	Yes	
	5	JP	2000-340232		12-08-2000	MITSUBISHI CHEMICALS CORP.	Yes	
	6	JP	2002-175810		06-21-2002	MITSUBISHI CHEMICALS CORP.	Yes	
		-						

		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>
	7	Office Action dated August 8, 2006 of JP2002-297739	
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Examiner Signature	Date Considered		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kind Codes of USPTO Patent Documents at www.uspto.gov, MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.

## BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE UNITED STATES PATENT AND TRADEMARK OFFICE

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Expires: July 7, 2007

Harry I. Moatz

Director of Enrollment and Discipline